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NEW ZEALAND QUALIFICATIONS AUTHORITY
MANA TOHU MĀTAURANGA O AOTEAROA

QUALIFY FOR THE FUTURE WORLD
KIA NOHO TAKATŪ KI TŌ ĀMUA AO!

Final version for Board Chair signature for the purposes of section 452(1)(m) of the Education and Training Act 2020

For NZQA Board:

Date: 22/10/21

Assessment (including Examination) Rules for Schools with Consent to Assess 2021, Amendment No.2

1. Authority

- 1.1 These Amendment Rules are made under section 452(1)(m) of the Education and Training Act 2020.

2. Commencement

- 2.1 These Amendment Rules come into effect on 15 November 2021.

3. Assessment (including Examination) Rules for Schools with Consent to Assess 2020 amended

- 3.1 The following rules of the Assessment (including Examination) Rules for Schools with Consent to Assess 2021 (the **principal Rules**) are amended in the manner shown in the specified clause in the **Appendix**:
- (a) rule 2 as shown in clause 1
 - (b) rules 8.4.c., 8.4.d. and 8.4.f. as shown in clause 2
 - (c) rules 8.5.c., and 8.5.d.iii. and iv. as shown in clause 3
 - (d) rule 8.7.1.a.ii. as shown in clause 4.

Explanatory note

This note is not part of these Rules but indicates their general effect.

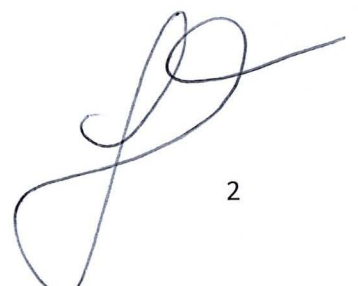
This amendment to the principal Rules, which commences on 15 November 2021, has been made to mitigate the further disruption to teaching, learning and assessment due to the impact of Covid-19, school building closures or natural disasters. Amendments have been made to allow for the creation and use of further Candidate learning recognition credits to be used for the award of an NCEA qualification and Vocational Pathways. Amendments have also been made to amend requirements for Certificate endorsement, Course endorsement and University Entrance.

These Rules are administered by the New Zealand Qualifications Authority

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APPENDIX

**Amendments to Rules 2., 8.4.c., 8.4.d., 8.4.f.,
8.5.c., 8.5.d.iii., 8.5.d.iv., and 8.7.1.a.ii. of the
Assessment (including Examination) Rules for
Schools with Consent to Assess 2021**

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Clause 1 – amendments to Rule 2 (Definitions)

1.1 Replace the definition of Applicable credits in Rule 2 with:

Applicable credits means:

- a. credits recorded on a Candidate's Record of Achievement that count towards NCEA and Vocational Pathways;
- b. Candidate learning recognition credits awarded in 2020 under the Assessment (including Examination) Rules for Schools with Consent to Assess 2020, and which remain available for use in 2021;
- c. Candidate learning recognition credits awarded in 2021; and
- d. credit equivalents gained through Credit Inclusion under Rule 8.10.

1.2 The following definitions are added to Rule 2 in their appropriate alphabetical order:

Affected Candidates means Group 1 Candidates, Group 2 Candidates, Group 3 Candidates, Group 4 Candidates, Group 5 Candidates, and Group 6 Candidates.

Candidate learning recognition credits means, in relation to an Affected Candidate, credits provided to that Candidate for 2021 calculated in accordance with Table 1 of the Schedule to these Rules and, for a Group 5 Candidate or Group 6 Candidate, who have had an application approved by NZQA under paragraph (d) of the definition of Group 5 Candidates and paragraph (c) of the definition of Group 6 Candidates.

Group 1 Candidates means Candidates enrolled in a School in 2021 within the Tāmaki Makarau region.

Group 2 Candidates means Candidates residing outside the Tāmaki Makarau region who were enrolled in a School in 2021 and in respect of whom:

- a. face to face schooling was disrupted by Alert Level 3 and 4 lockdowns in 2021 for at least 16 school days; and
- b. the School was unable to maintain continuity of teaching and learning over the periods of disruption.

Group 3 Candidates means Candidates residing outside the Tāmaki Makarau region who were enrolled in a School in 2021 and in respect of whom:

- a. face to face schooling was disrupted by Alert Level 3 and 4 lockdowns in 2021 for 20 or more school days; and
- b. the School was unable to maintain continuity of teaching and learning over the periods of disruption.

Group 4 Candidates means Candidates residing outside the Tāmaki Makarau region who were enrolled in a School in 2021 and in respect of whom:

- a. face to face schooling was disrupted by Alert Level 3 and 4 lockdowns in 2021 for at least 16 school days in Term 3; and
- b. there was a further disruption of four or more school days in 2021 due to either or both of School building closures or natural disasters which were acknowledged by the Ministry of Education on its Situation Reports in respect of School closures or School building closures; and



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- c. the School was unable to maintain continuity of teaching and learning over the periods of disruption; and
- d. the School applied to NZQA on the Candidate's behalf for recognition of the matters in paragraphs (a) to (c) and NZQA approved that application.

Group 5 Candidates means Candidates residing outside the Tāmaki Makarau region who were enrolled in a School in 2021 and in respect of whom:

- a. face to face schooling was disrupted by Alert Level 3 and 4 lockdowns in 2021 for at least 16 school days; and
- b. the School was unable to maintain continuity of teaching and learning over the periods of disruption; and
- c. the Candidate was not physically attending the School during lockdown Alert Level 2 due to having an underlying medical condition making the Candidate at risk of severe illness from Covid-19; and
- d. the School applied to NZQA on the Candidate's behalf for recognition of the matters in paragraphs (a) to (c) and NZQA approved that application in respect of either Option A or Option B in Table 1 for that Candidate.

Group 6 Candidates means Candidates residing within the Tāmaki Makarau region who were enrolled in a School in 2021 outside the Tāmaki Makarau region and in respect of whom:

- a. face to face schooling was disrupted by Alert Level 3 and 4 lockdowns in 2021 for at least 16 school days; and
- b. the School was unable to maintain continuity of teaching and learning over the periods of disruption; and
- c. the School applied to NZQA on the Candidate's behalf for recognition of the matters in paragraphs (a) and (b) and NZQA approved that application in respect of either Option A or Option B in Table 1 for that Candidate.

Tāmaki Makaurau region means the Auckland Alert Level 3 and 4 area specified on the Unite Against Covid-19 website.

Clause 2 – Rules 8.4.c., 8.4.d., and 8.4.f. are replaced

Replace paragraphs c., d., and f. of Rule 8.4 with:

- c. An **Excellence endorsement** of an NCEA certificate is issued to:
 - i. Candidates who are not Affected Candidates and who have achieved **50 or more credits** from standards with a grade of Excellence at the same or higher NCEA Level as the NCEA Certificate being endorsed:
 - ii. Affected Candidates (*other than Group 5 and Group 6 Candidates*) who have achieved the number of credits set out in Table 2 of the Schedule from standards with a grade of Excellence at the same or higher NCEA Level as the NCEA Certificate being endorsed:
 - iii. Group 5 or Group 6 Candidates, where their School applied to NZQA on a Candidate's behalf and NZQA approved that application in respect of either Option A or Option B in Table 2 for that Candidate (*and if the application was declined the Candidate remains eligible under sub-paragraph i. of this paragraph*).

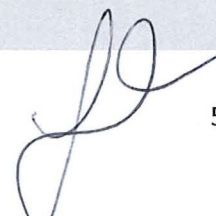
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- d. A **Merit endorsement** of an NCEA certificate is issued to:
- i. Candidates who are not Affected Candidates and who have achieved **50 or more credits** from standards with a grade of either Merit or Excellence at the same or higher NCEA Level as the NCEA Certificate being endorsed:
 - ii. Affected Candidates (*other than Group 5 and Group 6 Candidates*) who have achieved the number of credits set out in Table 2 of the Schedule from standards with a grade of either Merit or Excellence at the same or higher NCEA Level as the NCEA Certificate being endorsed:
 - iii. Group 5 or Group 6 Candidates, where their School applied to NZQA on a Candidate's behalf and NZQA approved that application in respect of either Option A or Option B in Table 2 for that Candidate (*and if the application was declined the Candidate remains eligible under sub-paragraph i. of this paragraph*).
- f. A Candidate may apply for NCEA Certificate endorsement in a year subsequent to the year the Candidate received an NCEA Certificate, where the Candidate:
- i. achieves 50 or more credits at either Excellence or Merit at the NCEA Level of that NCEA Certificate; or
 - ii. is an Affected Candidate and the Certificate relates to the 2021 year, and achieves the number of credits set out in Table 2 for their relevant Group at either Excellence or Merit at the NCEA Level of that NCEA Certificate.

Clause 3 – Rule 8.5 c, and Rule 8.5 d.iii and iv replaced

3.1 Replace paragraph c. of Rule 8.5 with:

- c. The course endorsement is gained at the NCEA Level of the lowest standard making up the eligible 14 credits or, if the Candidate is a Group 1, 3, 4, 5 or 6 Candidate, the eligible 12 credits. Subject to the exemptions in paragraph d. of this Rule 8.5, NZQA will grant to:
- i. a Candidate, who is not a Group 1, 3, 4, 5 or 6 Candidate, a Course endorsement of:
 1. **Excellence** where a Candidate achieves 14 or more credits from standards within the Course with a grade of Excellence, including at least three of those credits from internal standards and three of those credits from external standards:
 2. **Merit** where a Candidate achieves 14 or more credits from the assessment within the Course at Merit or Excellence, including at least three of those credits from internal standards and three of those credits from external standards:
 3. **Achieved** where a Candidate achieves 14 or more credits from the assessment within the Course at Achieved, Merit or Excellence, including at least three of those credits from internal standards and three of those credits from external standards:
 - ii. A Group 1, 3, 4, 5 or 6 Candidate, a Course endorsement of:
 1. **Excellence** where the Candidate achieves 12 or more credits from standards within the Course with a grade of Excellence, including at least three of those credits from internal standards and three of those credits from external standards:



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2. **Merit** where the Candidate achieves 12 or more credits from the assessment within the Course at Merit or Excellence, including at least three of those credits from internal standards and three of those credits from external standards:
3. **Achieved** where the Candidate achieves 12 or more credits from the assessment within the Course at Achieved, Merit or Excellence, including at least three of those credits from internal standards and three of those credits from external standards.

3.2 Replace sub-paragraphs iii and iv of Rule 8.5.d with:

- iii. For Courses where all the standards are at NCEA Level 3 and from the subject area of Visual Arts:
 1. The requirement in paragraph b. of this clause 8.5 for 3 credits from internally assessed standards does not apply where the Candidate has achieved a single 14 credit, or if the Candidate is a Group 1, 3, 4, 5 or 6 Candidate has achieved a single 12 credit, externally assessed standard within the Course:
 2. The requirement in paragraph b. of this clause 8.5 for 3 credits from externally assessed standards does not apply where the Candidate has achieved 14 credits, or if the Candidate is a Group 1, 3, 4, 5 or 6 Candidate has achieved 12 credits, from internally assessed standards within the Course.
- iv. The requirement in paragraph b. of this clause 8.5 for 3 credits from externally assessed standards does not apply to Courses in which a Candidate achieves 14 credits, or achieves 12 credits where the Candidate is a Group 1, 3, 4, 5 or 6 Candidate, in any one of the following subject areas:
 1. Hangarau:
 2. Hauora:
 3. Ngā Toi:
 4. Ngā Toi (Ngā Mahi a Te Rēhia):
 5. Ngā Toi (Toi Ataata):
 6. Ngā Toi (Toi Puoro):
 7. Pūtaiao:
 8. Tikanga-a-iwi.

Clause 4 –Rule 8.7.1.a.ii replaced

Replace sub-paragraph a.ii of Rule 8.7.1 with:

- ii. 14 credits, or if the Candidate is a Group 1, 3, 4, 5 or 6 Candidate has obtained at least 12 credits, in three of the **Approved subjects** published on the NZQA website; and



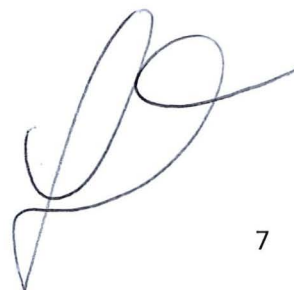
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Schedule

Table 1

Calculating Affected Candidate learning recognition credits for 2021

Group	NCEA Level 1 (credits achieved being through internal or external assessment)	NCEA Level 2 (credits achieved being through internal or external assessment)	NCEA Level 3 (credits achieved being through internal or external assessment)
Group 1 Candidates	One in every four credits achieved up to a maximum of 16 in total	One in every four credits achieved up to a maximum of 12 in total	One in every four credits achieved up to a maximum of 12 in total
Group 2 Candidates	One in every five credits achieved up to a maximum of 8 in total	One in every five credits achieved up to a maximum of 6 in total	One in every five credits achieved up to a maximum of 6 in total
Group 3 Candidates Group 4 Candidates	One in every five credits achieved up to a maximum of 10 in total	One in every five credits achieved up to a maximum of 8 in total	One in every five credits achieved up to a maximum of 8 in total
Group 5 and Group 6 Candidates in respect of whom NZQA has approved either Option A or Option B following an application from their School	Option A - one in every four credits achieved up to a maximum of 16 in total Option B - one in every five credits achieved up to a maximum of 10 in total	Option A – one in every four credits achieved up to a maximum of 12 in total Option B - one in every five credits achieved up to a maximum of 8 in total	Option A – one in every four credits achieved up to a maximum of 12 in total Option B - one in every five credits achieved up to a maximum of 8 in total



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Table 2

Credits entitling certificate endorsement for Affected Candidates

Kind of Affected Candidate	Certificate endorsement
Group 1 Candidates	44 or more credits at Merit or Excellence
Group 2 Candidates	50 or more credits at Merit or Excellence (no change)
Group 3 Candidates Group 4 Candidates	46 or more credits at Merit or Excellence
Group 5 and Group 6 Candidates in respect of whom NZQA has approved either Option A or Option B following an application from their School	Option A - 44 or more credits at Merit or Excellence Option B - 46 or more credits at Merit or Excellence

