

Statutory policies for incentives/sanctions

NZQA statutory policies supporting confidence statements from External Evaluation and Review established by the NZQA Board on 31 March 2011

The policies set out below are established by the New Zealand Qualifications Authority ("NZQA") under the following provisions of the Education Act 1989 ("the Act"): section 253(1) (ca) (in relation to registration of private training establishments) and section 253(1) (e) (in relation to accreditation).

1. Policies relating to registration of private training establishments

Biennial financial attestations from a chartered accountant

1.1. This clause 1 applies to registered private training establishments that receive a "Highly Confident" rating in both Educational Performance and Capability in Self-Assessment, or a "Highly Confident" rating in Educational Performance and a "Confident" rating in Capability in Self-Assessment under the Policies and Guidelines for the Conduct of External Evaluation and Review established by NZQA under section 253(1)(d) and (e) of the Act and published on 1 September 2009.

1.2. Registered private training establishments to which this clause 1 applies may comply with the following policy instead of policy 1.11 of the Policies and Criteria for the Ongoing Registration of Private Training Establishments:

(a) Submit every two years the Chartered Accountant Attestation set out in Appendix 3 of the NZQA document entitled How To Maintain Registration as a Private Training Establishment; and

(b) Submit annually the Statutory Declaration set out in Appendix 2 of the NZQA document entitled How To Maintain Registration as a Private Training Establishment.

2. Policies relating to accreditation

Accreditations

2.1. This clause 2 applies to institutions (as defined in section 259(1), but excluding universities) that receive a "Not Confident" rating in Educational Performance or Capability in Self-Assessment under the Policies and Guidelines referred to in clause 1.1 above.

2.2. Institutions to which this clause 2 applies must have assessment and moderation of student work carried out by an independent accredited institution with

ratings of at least “Confident” in Educational Performance and Capability in Self-Assessment under the Policies and Guidelines referred to in clause 1.1 above.

3. Policies made under section 253(1) (d) and (e) of the Act for the Conduct of External Evaluation and Review

Frequency of external evaluation and review

3.1. This clause 3 applies to institutions (as defined in section 259(1)), but excluding universities) that receive a “Not Confident” or a “Not Yet Confident” rating in Educational Performance or Capability in Self-Assessment under the Policies and Guidelines referred to in clause 1.1 above.

3.2. Institutions to which this clause 3 applies to that received a “Not Yet Confident” rating are required to participate in their next external evaluation and review within the year that is 12-24 months following their latest external evaluation and review.

3.3. Institutions to which this clause 3 applied to that received a “Not Confident” rating are required to participate in their next external evaluation and review within the period that is between 6-12 months following their latest external evaluation and review.